

# Lindsey C. Cason

This brochure provides supplemental information about Lindsey C. Cason. This supplements SMB Financial Services, Inc.'s ADV Part 2 A brochure, which should have also been provided to you. Please contact us at (503) 387-3222 or [info@smb.financial](mailto:info@smb.financial) if you need the brochure or if you have any questions.

Additional information about Lindsey C. Cason is also available at the SEC's website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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**ITEM 1 – COVER PAGE BROCHURE ADV PART 2 B**

MARCH 22, 2024

INDIVIDUAL CRD# 7859020  
SMB FINANCIAL SERVICES, INC.  
11535 SW 67th Ave, Portland, Oregon 97223  
[lindsey@smb.financial](mailto:lindsey@smb.financial)  
(503) 387-3222  
[www.smb.financial](http://www.smb.financial)

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**ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE****Lindsey C. Cason**

Year Born: 1993

**Educational Background:**

M.Ed. of Learning and Technology, Western Governors University (2021)

B.S., Elementary Education - Corban University (2015)

**Business Background:**

02/2024 - Present: *Investment Advisor Representative*, SMB Financial Services, Inc.

09/2023 – Present: *Administrative Assistant*, SMB Financial Services, Inc.

06/2015 - 06/2023: *Teacher*, North Santiam School District

**Professional Qualifications:**

Series 65, Uniform Investment Adviser Law Examination (2024)

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**ITEM 3 - DISCIPLINARY INFORMATION**

Lindsey C. Cason has no legal or disciplinary events that are material to you or a prospective client's evaluation of this advisory business.

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**ITEM 4 - OTHER BUSINESS ACTIVITIES**

The principal business of Lindsey C. Cason is that of an investment advisor representative and provider of financial planning services.

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**ITEM 5 - ADDITIONAL COMPENSATION**

Other than work with SMB Financial Services, Inc. and any disclosures made in Items 2 and 4 above, Lindsey C. Cason receives no additional compensation related to outside business activities.

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**ITEM 6 - SUPERVISION**

Lindsey C. Cason is not a supervising authority at SMB Financial Services, Inc. but does work closely with and is monitored by Timothy L. Porter. Mr. Porter will remain aware of and keep us compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. SMB Financial Services, Inc. maintains a written compliance manual that is reviewed with employees when they are hired as well as annually. As well, our employees receive written evaluations on a regular basis.

Mr. Porter is located at 11535 SW 67th Ave, Portland, Oregon 97223 and can be reached by calling (503) 387-3222.