

Jeremiah D. Forrister

This brochure provides supplemental information about Jeremiah D. Forrister. This supplements SMB Financial Services, Inc.'s ADV Part 2 A brochure, which should have also been provided to you. Please contact us at (503) 387-3222 or info@smb.financial if you need the brochure or if you have any questions.

Additional information about Jeremiah D. Forrister is also available at the SEC's website www.adviserinfo.sec.gov.

ITEM 1 – COVER PAGE BROCHURE ADV PART 2 B

MARCH 22, 2024

INDIVIDUAL CRD# 6613019
SMB FINANCIAL SERVICES, INC.
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jf@smb.financial
(503) 387-3222
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ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Jeremiah D. Forrister, CFP®

Year Born: 1991

Educational Background:

B.S., Student and Family Ministries - Corban University (2013)

Minor, Biblical Studies - Corban University (2013)

Business Background:

07/2017 - Present: *Investment Advisor Representative*, SMB Financial Services, Inc.

06/2013 - 07/2017: *Supervisor*, Costco Wholesale

09/2011 - 06/2013: Unemployed during study at Corban University

01/2005 - 09/2011: *Dental Technician Assistant*, Forrister Dental Laboratories, LLC

Professional Qualifications:

Series 65, Uniform Investment Adviser Law Examination (2016)

Professional Designation:

Certified Financial Planner (CFP®)

Explanation of Designation:

CFP®: (Certified Financial Planner)

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold a CFP® certification.

To attain the right to use the CFP® designation, an individual must satisfactorily fulfill the following requirements:

Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and Client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;

Experience – 1) Complete at least three years (at least 6,000 hours) of full-time financial planning-related experience or (2) complete at least two years (at least 4,000 hours) of full-time financial planning-related experience while under the supervision of a CFP® professional; and

Ethics – Agree to be bound by CFP Board’s Code of Ethics and Standards of Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks. This requires 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Conduct, to maintain competence and keep up with developments in the financial planning field; and renewal of an agreement to be bound by the Code of Ethics and Standards of Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their Clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

ITEM 3 - DISCIPLINARY INFORMATION

Jeremiah D. Forrister has no legal or disciplinary events that are material to you or a prospective client's evaluation of this advisory business.

ITEM 4 - OTHER BUSINESS ACTIVITIES

The principal business of Jeremiah D. Forrister is that of an investment advisor representative and provider of financial planning services.

ITEM 5 - ADDITIONAL COMPENSATION

Other than work with SMB Financial Services, Inc. and any disclosures made in Items 2 and 4 above, Jeremiah D. Forrister receives no additional compensation related to outside business activities.

ITEM 6 - SUPERVISION

Jeremiah D. Forrister is not a supervising authority at SMB Financial Services, Inc. but does work closely with and is monitored by Timothy L. Porter. Mr. Porter will remain aware of and keep us in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. SMB Financial Services, Inc. maintains a written compliance manual that is reviewed with employees when they are hired as well as annually. As well, our employees receive written evaluations on a regular basis.

Mr. Porter is located at 11535 SW 67th Ave, Portland, Oregon 97223 and can be reached by calling (503) 387-3222.